SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Jones John Jude	2. Date of Requiring (Month/Da 01/01/20	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol <u>MARSH &amp; MCLENNAN COMPANIES, INC.</u> [ MMC ]						
(Last) (First) (Middle) 1166 AVENUE OF THE AMERICAS	3		4. Relationship of Reporting Issuer (Check all applicable)		10% Owner Other (specify below)		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>		
(Street) NEW YORK NY 10036 (City) (State) (Zip)	_		Director X Officer (give title below) Chief Marketing	Other ( below)					
Т	able I - Nor	n-Derivati	ve Securities Benefic	cially Ov	wned				
1. Title of Security (Instr. 4)	I	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In (I) (Instr	Direct Owne ndirect		lature of Indirect Beneficial nership (Instr. 5)			
Common Stock		5,967	E	)					
Common Stock			1,620.27	I			IC 401(k) Savings & estment Plan		
(e.ç			e Securities Beneficia nts, options, converti			)			
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	r Security umber f		Direct (D) or Indirect (I) (Instr. 5)	5)	
Restricted Stk. Units (SSIP)	(1)	(1)	Common Stock	80.53	(2)		D		
Restricted Stock Units	(3)	(3)	Common Stock	3,408	(2)		D		
Stock Options (Right to Buy)	(4)	02/18/2029	Common Stock	4,908	90.785		D		
Stock Options (Right to Buy)	(5)	02/18/2030	Common Stock	4,743	118.865		D		
Stock Options (Right to Buy)	(6)	02/21/2031	Common Stock	5,057	117.53		D		
Stock Options (Right to Buy)	(7)	02/22/2032	Common Stock	3,785	151.3	368	D		

**Explanation of Responses:** 

1. Not Applicable

2. The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.

3. These restricted stock units vest in three equal annual installments. The restricted stock units were granted on various dates between February 19, 2020 and February 23, 2022

4. These options were granted on February 19, 2019 and vest in four equal annual installments on February 19th of 2020, 2021, 2022 and 2023.

5. These options were granted on February 19, 2020 and vest in four equal annual installments on February 19th of 2021, 2022, 2023 and 2024

6. These options were granted on February 22, 2021 and vest in four equal annual installments on February 22nd of 2022, 2023, 2024 and 2025.

7. These options were granted on February 23, 2022 and vest in four equal annual installments on February 23rd of 2023, 2024, 2025 and 2026.

/s/ Tessa Patti, Attorney-01/03/2023 in-fact \*\* Signature of Reporting Date Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.